

**Math A4400: Mathematical Logic**  
**Final Project, relying on Section 2.6.**

Read Section 2.6 and these two pages, and think about each of the questions 1-15 for 5-10 minutes.

Classify questions 1-15 as too boring, too hard, or just right for you; with at least 5 “just right.”

Solve as many of the not-too-boring, not-too-hard parts as you can by Tuesday, May 10th.

**Come to class on Tuesday May 10th**, or send your preferences (boring/hard/just right) to someone who will: we will assign parts to people. Solve all parts assigned to you by Tuesday May 17th.

## 1 introduction

The goal is to prove the Compactness Theorem of First Order Logic:

**Theorem 1.1.** *Every finitely satisfiable set of first-order sentences is satisfiable.*

**Definition 1.1.** *A set  $S$  of  $L$ -sentences is called finitely satisfiable if for any finite subset  $S_0 \subset S$  there is an  $L$ -structure satisfying all formulae in  $S_0$ .*

We start with a first-order language  $L_0$  and a finitely satisfiable set  $S_0$  of  $L_0$ -sentences; we grow the language to  $L_\omega$  by adding many new constant symbols, and grow the set  $S_0$  to  $\Sigma$  with two extra properties: still finitely satisfiable,  $\Sigma$  is also *complete*, and *has constant witnesses*. We then build an  $L_\omega$ -structure  $\mathcal{U}$  out of the constants of  $L_\omega$ , and show that it satisfies all sentences in  $\Sigma$ . Then the reduct of  $\mathcal{U}$  to  $L_0$  satisfies all sentences in  $S$ , and we are done.

## 2 the project

1. Given a first-order language  $L$  and a finitely satisfiable set  $T$  of  $L$ -sentences, let  $L'$  be a new language with lots of extra constant symbols, and  $T'$  be a set of  $L'$ -sentences as follows:

$$L' := L \cup \{C_\phi \mid \phi(x) \text{ is an } L\text{-formula}\}$$

$$T' := T \cup \{(\exists x \phi) \rightarrow \phi(C_\phi) \mid \phi(x) \text{ is an } L\text{-formula}\}$$

Show that  $T'$  is finitely satisfiable.

2. Given a first-order language  $L_0$  and a finitely satisfiable set  $S_0$  of  $L_0$ -sentences, use the definitions above to define  $L_n$  and  $S_n$  inductively as follows:

$$L_{n+1} := L'_n \text{ and } S_{n+1} := S'_n$$

Let

$$L_\omega := \bigcup_{n \in \mathbb{N}} L_n \text{ and } S_\omega := \bigcup_{n \in \mathbb{N}} S_n$$

- (a) Show that for all  $n$ ,  $S_n$  is finitely satisfiable.
- (b) Show that  $S_\omega$  is finitely satisfiable.
- (c) Show that for any  $L_\omega$ -formula  $\phi(x)$ , there is a constant symbol  $C_\phi$  in  $L_\omega$  such that the sentence  $(\exists x \phi) \rightarrow \phi(C_\phi)$  is in  $S_\omega$ .

**Definition 2.1.** *A set  $T$  of  $L$ -sentences is said to have constant witnesses if for every  $L$ -formula  $\phi$  there is a constant symbol  $C_\phi$  in  $L$  such that the sentence  $(\exists x \phi) \rightarrow \phi(C_\phi)$  is in  $T$ .*

3. (a) Suppose that you have countably many symbols; show that there are only countable many finite strings of these symbols. Conclude that if a first-order language  $L$  has countably many non-logical symbols, then there are countably many  $L$ -formulae.

- (b) Show that if there are countably many symbols in  $L$ , and countably many formulae in  $L$ , then there are countably many formulae in  $L'$  defined above.
- (c) Given countable first-order languages  $L_n$  for  $n \in \mathbb{N}$  such that  $L_i \subset L_j$  for all  $i \leq j$ , show that  $\bigcup_{n \in \mathbb{N}} L_n$  is countable. Conclude that  $L_\omega$  defined above has countably many formulae.
4. Show that if a set  $T$  of  $L$ -sentences is finitely satisfiable, and  $\theta$  is an  $L$ -sentence, then either  $T \cup \{\theta\}$  or  $T \cup \{\neg\theta\}$  is finitely satisfiable.
5. Show that if a set  $S_\omega$  of  $L_\omega$ -sentences is finitely satisfiable, then there exists a finitely satisfiable set  $\Sigma$  of  $L_\omega$ -sentences such that  $S_\omega \subset \Sigma$  and for every  $L_\omega$ -sentence  $\theta$ , either  $\theta \in \Sigma$  or  $\neg\theta \in \Sigma$ .
- Definition 2.2.** A set  $T$  of  $L$ -sentences is called complete if for every  $L$ -sentence  $\theta$ , either  $\theta \in T$  or  $\neg\theta \in T$ .

6. So, we now have a set of  $L_\omega$ -sentences  $\Sigma \supset S$ , which is complete, finitely satisfiable, and has constant witnesses.

**Definition 2.3.** An  $L$ -sentence  $\psi$  is a semantic consequence of a set  $\Sigma$  of  $L$ -sentences if every  $L$ -structure  $\mathcal{A}$  that satisfies all sentences in  $\Sigma$  also satisfies  $\psi$ .

7. Suppose that a set  $T$  of  $L$ -sentences is complete and finitely satisfiable, and that an  $L$ -sentence  $\psi$  is a semantic consequence of a finite subset of  $T$ ; show that  $\psi \in T$ .
8. Suppose that a set  $T$  of  $L$ -sentences is complete and finitely satisfiable; define two constant symbols  $C$  and  $D$  to be  $T$ -equivalent if the sentence  $C = D$  is in  $T$ . Show that this is an equivalence relation.
9. Suppose that a set  $T$  of  $L$ -sentences is complete and finitely satisfiable; show that if  $C_i$  is  $T$ -equivalent to  $D_i$  for all  $i \leq n$ , and  $R$  is an  $n$ -ary relation symbol in  $L$ , then the sentence  $R(C_1, \dots, C_n)$  is in  $T$  if and only if the sentence  $R(D_1, \dots, D_n)$  is in  $T$ .
10. Suppose that a set  $T$  of  $L$ -sentences is complete and finitely satisfiable, and has constant witnesses. Show that for any constant symbols  $C_i$  in  $L$  and any  $n$ -ary function symbol  $f$  in  $L$ , there is a constant symbol  $C$  in  $L$  such that the sentence  $f(C_1, \dots, C_n) = C$  is in  $T$ .
11. Suppose that a set  $T$  of  $L$ -sentences is complete and finitely satisfiable;  $C_i$  is  $T$ -equivalent to  $D_i$  for all  $i \leq n$ ;  $f$  is an  $n$ -ary function symbol in  $L$ ; and sentences  $f(C_1, \dots, C_n) = C$  and  $f(D_1, \dots, D_n) = D$  are in  $T$ ; show that  $C$  is  $T$ -equivalent to  $D$ .
12. We now construct an  $L_\omega$ -structure  $\mathcal{U}$  as follows: the universe  $U$  will consist of  $\Sigma$ -equivalence classes  $u_C$  of  $L_\omega$  constant symbols  $C$ ; we interpret  $L_\omega$  as follows:

- for a constant symbol  $C$ , we let  $C^\mathcal{U} := u_C$
- for an  $n$ -ary relation symbol  $R$ ,

$$R^\mathcal{U} := \{(u_{C_1}, u_{C_2}, \dots, u_{C_n}) \mid R(C_1, C_2, \dots, C_n) \in \Sigma\}$$

- for an  $n$ -ary function symbol  $f$  and an  $n$ -tuple  $(u_{C_1}, u_{C_2}, \dots, u_{C_n})$  of elements in  $U$ , we let  $f^\mathcal{U}(u_{C_1}, u_{C_2}, \dots, u_{C_n}) := u_C$  for some constant symbol  $C$  in  $L_\omega$  such that  $f(C_1, C_2, \dots, C_n) = C$  is in  $\Sigma$ .

Verify that the functions  $f^\mathcal{U}$  are well-defined.

13. Suppose that a set  $T$  of  $L$ -sentences is complete and finitely satisfiable, and that the  $L$ -sentence  $\forall x \phi(x)$  is in  $T$ , and that  $C$  is a constant symbol in  $L$ . Show that the  $L$ -sentence  $\phi(C)$  is in  $T$ .
14. Show that  $\mathcal{U}$  satisfies  $\Sigma$ . Hint: induct on the complexity of a sentence  $\theta$  to show that  $\mathcal{U} \models \theta$  if and only if  $\theta \in \Sigma$ . Further hint: use the fact that  $\Sigma$  has constant witnesses to deal with the quantifier induction step.
15. Yay, we are done!